

IMPORTANT GRANT GUIDELINES AND CONDITIONS ENCLOSED

UNC BRIEF

Agreement [] Grant [x] Contract [] Subcontract []

PRINCIPAL INVESTIGATOR: Dr. William Small

DEPARTMENT: Division of External Affairs
School of Public Health

ADDRESS: CB 7400 Rosenau Hall

GENERAL INFORMATION

AGENCY: Centers for Disease Control

AGENCY NO: R13/CCR497403-01

PROJECT TITLE: Minority Health Conference with Focus on Environmental Health Issues

BUDGET PERIOD: June 1, 1992 - May 31, 1993

UNC ACCOUNT NUMBER: 5-52763 0-401-4626

Please cite the UNC account number on all correspondence concerning your award. All correspondence relative to any changes or amendments to your grant/contract should be routed through this office for countersignature. An associated Fund Authority, detailing the budget established will be forwarded by this office on receipt. Monthly accounting statements (FBM 090/91) will be provided thereafter.

IF YOU HAVE ANY QUESTIONS OR NEED ASSISTANCE REGARDING YOUR AWARD
PLEASE CONTACT US AT 966-3411:

CONTRACT SPECIALIST: TIMOTHY L. QUIGG
ASSISTANT CONTRACT SPECIALIST: ANNETTE CRABTREE
ACCOUNTING TECHNICIAN: BRENDA MORTON__ or
BRENT LEMONS_x_

ATTACHMENTS:

[x] Special Conditions

[x] Award Notice

[x] Other On-Line Rebudgeting Code = 001

c/o Judy Beaver 6-6266
Division of External Affairs
CB 7400

ON LINE REBUDGETING

On-line rebudgeting is available to departments that have hookup to FRS.
(If you are interested in on-line capabilities, call Patsy Oliver, Controller's Office 2-1370)

The following rules apply to Contract and Grant accounts when processing an on-line budget revision.

- (1) If the Contract and Grant has expired, no transfers will be allowed.
- (2) Budget transfers will not be allowed for budget codes 3511, 4915, 4916 or object codes greater than 5999.
- (3) Each account will be assigned one of the following value codes:

<u>Value Code</u>	<u>Translation</u>
000	No rebudgeting allowed
001	No budgetary restrictions
002	Rebudgeting allowed between 2XXX, 3XXX, and 4XXX object codes.
003	Rebudgeting allowed between 19XX, 2XXX, 3XXX, 4XXX and 5XXX object codes
004	Rebudgeting allowed between 1001 and 1800 object codes.
005	Rebudgeting allowed between 1001, 1800, 19XX, 2XXX, 3XXX and 4XXX object codes.
006	Rebudgeting allowed between 1001, 1800, 19XX, 2XXX, 3XXX, 4XXX, and 5XXX object codes.

Screen 09A will show the value assigned. See attribute FS841, Designated Restrict: XXX.

06/09/1992

93.283

DEPARTMENT OF HEALTH AND HUMAN SERVICES
PUBLIC HEALTH SERVICE
CENTERS FOR DISEASE CONTROL
PROCUREMENT AND GRANTS OFFICE
255 E. PACES FERRY ROAD, N.E.
ATLANTA, GEORGIA 30305

3. SUPERSEDES AWARD NOTICE DATED _____
EXCEPT THAT ANY ADDITIONS OR RESTRICTIONS _____
PREVIOUSLY IMPOSED REMAIN IN EFFECT UNLESS SPECIFICALLY RESCINDED.

4. GRANT NO. R13/CCR497403-01
FORMERLY: _____

5. ADMINISTRATIVE CODES CCR13

6. PROJECT PERIOD
FROM 06/01/1992 THROUGH 05/31/1993

7. BUDGET PERIOD
FROM 06/01/1992 THROUGH 05/31/1993

NOTICE OF GRANT AWARD
AUTHORIZATION (LEGISLATION/REGULATION)
SECTION 301 (42 USC 241 AND 242N)

8. TITLE OF PROJECT (OR PROGRAM) (LIMIT TO 53 SPACES)
MINORITY HEALTH CONFERENCE WITH FOCUS ON ENVIRONMENTAL HEALTH ISSUES

9. GRANTEE
THE UNIVERSITY OF NC AT CHAPEL HILL
SCHOOL OF PUBLIC HEALTH
CB #7400
CHAPEL HILL, NC 27599-7400

10. DIRECTOR OF PROJECT (PROGRAM OR CENTER DIRECTOR,
COORDINATOR OR PRINCIPAL INVESTIGATOR)
WILLIAM T. SMALL, MSPH

11. APPROVED BUDGET (EXCLUDES PHS DIRECT ASSISTANCE)

GRANT FUNDS ONLY TOTAL PROJECT COSTS INCLUDING GRANT FUNDS AND ALL OTHER FINANCIAL PARTICIPATION.

A. PERSONAL SERVICE	\$ 0
B. FRINGE BENEFITS	0
C. CONSULTANTS	0
D. TRAVEL	(3000) 2,000
E. EQUIPMENT	0
F. SUPPLIES	(2000) 1,945
G. CONTRACTUAL	0
H. PATIENT CARE	0
I. CONSTRUCTION(A & R)	0
J. TRAINEE COSTS	0
K. OTHER	1,625
TOTAL DIRECT COSTS	5,570
L. INDIRECT COSTS	0
RATE: 0.0000	* APR 14
TOTAL APPROVED BUDGET	5,570
M. FEDERAL SHARE	\$ 5,570
N. NON-FEDERAL SHARE *	\$ 0

MUST MEET ALL MATCHING OF COST PARTICIPATION REQUIREMENTS.
SUBJECT TO ADJUSTMENT IN ACCORDANCE WITH PHS POLICY.

12. AWARD COMPUTATION FOR GRANT

A. AMOUNT OF PHS FINANCIAL ASSISTANCE (FROM 11.0)	\$ 5,570
B. LESS UNOBLIGATED BALANCE FROM PRIOR BUDGET PERIODS	\$ 0
C. LESS CUMULATIVE PRIOR AWARD(S) THIS BUDGET PERIOD	\$ 0
D. AMOUNT OF THIS ACTION	\$ 5,570

13. RECOMMENDED FUTURE SUPPORT (SUBJECT TO THE AVAILABILITY OF FUNDS AND SATISFACTORY PROGRESS OF THE PROJECT)

BUDGET YEAR	TOTAL DIRECT COSTS	BUDGET YEAR	TOTAL DIRECT COSTS
A. 0	0	E. 0	0
B. 0	0	F. 0	0
C. 0	0	G. 0	0
D. 0	0		

14. APPROVED DIRECT ASSISTANCE BUDGET (IN LIEU OF CASH)

A. PERSONAL SERVICE	\$ 0
B. TRAVEL	0
C. VACCINE	0
D. OTHER	\$ 0
E. TOTAL DIRECT ASSISTANCE	\$ 0

15. PROGRAM INCOME SUBJECT TO 45 CFR 74.42 SHALL BE:

USED TO FURTHER THE OBJECTIVES OF THE LEGISLATION UNDER WHICH THE GRANT WAS MADE.

DEDUCTED FROM TOTAL PROJECT COSTS FOR THE PURPOSE OF DETERMINING THE NET COSTS ON WHICH THE FEDERAL SHARE OF COSTS SHALL BE BASED.

OTHER--SEE SPECIAL CONDITIONS

N.A.

6. THIS GRANT IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

A. THE GRANT PROGRAM LEGISLATION CITED ABOVE.
B. THE GRANT PROGRAM REGULATION CITED ABOVE.
C. THIS AWARD NOTICE INCLUDING TERMS AND CONDITIONS, IF ANY, NOTED BELOW UNDER REMARKS.

D. PHS GRANTS POLICY STATEMENT IN EFFECT AS OF THE BEGINNING DATE OF THE BUDGET PERIOD.
E. 45 CFR PART 74.

IF THE EVENT THERE ARE CONFLICTING OR OTHERWISE INCONSISTENT POLICIES APPLICABLE TO THE GRANT, THE ABOVE ORDER OF PRECEDENCE SHALL PREVAIL.
ACCEPTANCE OF THE GRANT TERMS AND CONDITIONS IS ACKNOWLEDGED BY THE GRANTEE WHEN FUNDS ARE DRAWN OR OTHERWISE OBTAINED FROM THE GRANT PAYMENT SYSTEM.

REMARKS
45 CFR PART 92 APPLIES TO THIS AWARD.

SPONSOR: OFFICE OF THE DIRECTOR, CDC

AGENCY OFFICIAL *Henry S. Caspell III*
HENRY S. CASPELL, III, GRANTS MANAGEMENT OFFICER

7. PHS LIST NO. C0-197-C92 FY-CAN	OBJ.CLASS 41.41 DOCUMENT NO. CCR497403	CRS.EIN 1-566001393-A1	17. ORGANIZATION DESCRIPTOR: 12..40
2.A 2-AXX09 2-9210004	B. CCR497403	C. CCR13	D. AMT.ACTION FIN.ASST 5,570
1.A	B.	C.	D.
2.A	B.	C.	D.

NOTICE OF GRANT
AWARD
(Continuation Sheet)

Page	Date Issued
2 Of 4	JUN 27 1992
Grant No. R13/CCR497403-01	

REMARKS

This award provides partial support for the conference entitled "Minority Health Conference With Focus on Environmental Health Issues" in the amount of \$5570. The Program Announcement #208, the application dated December 10, 1991, as amended, are made a part of this award by reference.

Publications, journal articles, etc., produced under a PHS grant-supported project must bear an acknowledgment and disclaimers, as appropriate, such as:

"This publication (Journal article, etc.) was supported by Grant Number _____ from (name of awarding agency). Its contents are solely the responsibility of the authors and do not necessarily represent the official views of (name of awarding agency)."

ACKNOWLEDGEMENT OF FEDERAL GRANT SUPPORT

When issuing statements, press releases, requests for proposal, bid solicitations, and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds, including but not limited to state and local governments, shall clearly state: 1) the percentage of the total cost of the program or project which will be financed with Federal money, 2) the dollar amount of Federal funds for the project or program, and 3) the percentage and dollar amount of the total costs of the project or program that will be financed by nongovernmental sources.

EXPANDED AUTHORITIES

The recipient of this conference award is granted "expanded authority" in the administration of the award. The PHS Grants Policy Statement chapter dealing with expanded authorities is provided as guidance for administration.

REPORTING REQUIREMENTS

A final performance report and Financial Status Report (FSR) are required no later than 90 days after the end of the budget/project period. All reports are to be submitted to:

Henry S. Cassell, III
Grants Management Officer
Procurement & Grants Office
Centers for Disease Control
255 E. Paces Ferry Road, N.E.,
Room 300, Mailstop E-14
Atlanta, GA 30305
Telephone: (404) 842-6630

SMALL, MINORITY, AND WOMAN-OWNED BUSINESSES

It is a national policy to place a fair share of purchases with small, minority, and woman-owned business firms. The Department of Health and Human Services is strongly committed to the objectives of this policy and encourages all recipients of its grants and cooperative agreements to take affirmative steps to ensure such fairness. In particular, recipients should:

1. Place small, minority, and woman-owned business firms on bidders mailing lists.
2. Solicit these firms whenever they are potential sources of supplies, equipment, construction, or services.
3. Where feasible, divide total requirements into smaller needs, and set delivery schedules that will encourage participation by these firms.
4. Use the assistance of the Minority Business Development Agency of the Department of Commerce, the Office of Small and Disadvantaged Business Utilization, DHHS, and similar state and local offices, where they exist.

PATENT RIGHTS CLAUSE

The standard Patent Rights Clause dated April 1, 1984, Appendix A to OMB Circular No. A-124 as published in the Federal Register, Vol. 49, No. 55, Tuesday, March 20, 1984 is made a part of this award by reference as though fully set forth herein.

GRANT/COOPERATIVE AGREEMENT
PAYMENT INFORMATION

Payment under this award will be made available through HHS Payment Management System (PMS). PMS is administered by the Federal Assistance Financing Branch (FAFB), Office of the Deputy Assistant Secretary, Finance, which will forward instructions for obtaining payment.

A. PMS correspondence, mailed through the U.S. Postal Service, should be addressed as follows:

Federal Assistance Financing Branch
DASP/DASF/OS/DHHS
P.O. Box 6021
Rockville, MD 20852

B. If a carrier other than the U.S. Postal Service is used, such as United Parcel Service, Federal Express, or other commercial service, the correspondence should be addressed as follows:

Federal Assistance Financing Branch
DASP/DASF/OS/DHHS
Rockwall Building, Room 833
11400 Rockville Pike
Rockville, MD 20852

Inquiries regarding HHS single letter of credit should be directed to 301/443-1250.

To expedite your first payment from this award, attach a copy of the Notice of Grant/Cooperative Award to your payment request form.

NOTICE

For your information, the HHS Inspector General maintains a toll-free telephone number, 800-368-5779, for receiving information concerning fraud, waste of abuse under grants and cooperative agreements. Such reports are kept confidential, and callers may decline to give their names if they choose to remain anonymous.

PHS PRIOR APPROVAL REQUIREMENTS

145.3 Actions Requiring PHS Prior Approval

(a) The following actions require written prior approval of the PHS awarding office GMO.

(1) Change of Scope. Changes in the scope or objectives of the grant-supported activities.

(2) Change in Principal Investigator. (i) Research Grants. Replacement or significant change in responsibilities of the approved project director or principal investigator. If the PHS awarding office imposes the prior approval requirement on other project staff, those persons must be so named and expressly identified on an NGA as key project staff and subject to prior approval.

(ii) Non-research grants. The NGA must specifically state a prior approval requirement for the replacement or significant change in responsibilities of the approved project director, principal investigator, or any other persons expressly identified on the award as key project staff.

(iii) On all PHS grants, the continuation of the project during any continuous period of more than 3 months without the active direction of an approved project director or principal investigator.

(3) Restrictions on NGA. Undertaking any activities disapproved or restricted as a condition of the award.

(4) Change of Grantee Institution/Successor in Interest/Recipient Institution Name Change. Grantees are required to notify PHS of any pending change of grantee institution, successor in interest, or institution name change. In a change of grantee or successor in interest situation, PHS will exercise its prerogative to determine whether to continue funding the existing project(s) under the new entity.

(5) Transferring Substantive Programmatic Work. Transferring to a third party, by contracting or any other means, the actual performance of substantive programmatic work.

(6) Carryover of Unobligated Funds From One Budget Period to Another Within an Approved Project Period.

(7) Extensions of the Budget/Project Period With or Without Additional Funds.

(8) Equipment. General or special purpose equipment exceeding \$25,000 per unit, when not included in the originally approved budget.

(9) Use of Salary Support Due to Receipt of a Research Career Development Award (RCDA). The alternative use of grant funds awarded under a PHS grant

for an individual's salary but which are no longer required for that purpose as the result of the transfer of that individual's salary to an RCDA.

(10) Alterations and Renovations (A&R). Expenditures, not included in the originally approved budget, which exceed \$25,000 in a budget period. Funds awarded and rebudgeted for alterations and renovations may not exceed the lesser of \$150,000 or 25 percent of total direct costs during any consecutive 3-year period. Additionally, PHS written prior approval is also required when the grantee proposes the use of its own construction and maintenance staff, i.e., "force account," for work which was not reflected as a force account activity in the original application.

(11) Audiovisual Materials. The cost of acquisition or production of audiovisual materials exceeding \$25,000 for a single audiovisual product, when not included in the originally approved budget.

(12) Transferring Amounts from Trainee Costs. The transfer of amounts previously awarded for trainee costs (stipends, tuition, and fees) to other categories of expense. This excludes trainee travel, which PHS does not consider to be a "trainee cost."

(13) Capital Expenditures. Capital expenditures for land or buildings. Also, real property acquired with PHS grant funds may not be conveyed, transferred, assigned, mortgaged, leased, or in any other manner encumbered by the grantee, without the written prior approval of the PHS awarding component or its successor organization.

(14) Patient Care Costs. Patient care costs where the need has not previously been approved by PHS and/or when a grantee desires to rebudget funds out of the patient care category. Irrespective of the amount of funds involved, any reduction in the level of patient care is considered to be a change in project scope and requires PHS prior approval.

(15) Publication and Printing. Publication and printing costs exceeding \$25,000 for a single publication, when not included in the originally approved budget.

(16) Any Equipment Purchased in the Last 6 Months of a Project Period. This prior approval applies even if (i) the NGA for the final budget period in the project period included funds for the equipment or (ii) the competing continuation application has been approved by the PHS awarding office. PHS will expedite any emergency requests for equipment if so advised of the emergency nature.

(17) Preaward Costs Incurred More Than 90 Days Prior to the Effective Date of any New or Competing Continuation Award. The incurrence of costs prior to the award of a grant imposes no obligation on the Federal Government to make the award or increase the amount of the approved budget.

(18) Transferring Management Services Which Exceed \$25,000. Transferring to a third party by contracting, consulting arrangement, or any other means, the actual performance of management services for the grantee, when the

direct cost for the transferred work will exceed \$25,000. For this purpose, management services means those services which are an integral part of the administration of a grant project and which contribute materially to the operation of the project, including but not limited to accounting services, personnel services, procurement services, and ADP services.

(19) Consultant Fees. When the consulting arrangement (i) constitutes a transfer of substantive programmatic work to a third party or (ii) results in a contract for management services that requires PHS awarding office prior approval.

(20) Consumer/Provider Board Participation. When not specifically authorized by program regulations, only the following costs are allowable with the prior approval of the PHS awarding office:

(i) Reasonable and actual out-of-pocket costs incurred solely as a result of attending a scheduled meeting, including transportation, meals, babysitting fees, and lost wages.

(ii) Only if not reimbursed to participants as per diem, or otherwise, the reasonable costs of necessary meals furnished by the grantee to consumer or provider participants during scheduled board meetings.

(21) Need for Additional Funds. A need for the award of additional Federal funds.

(22) Closely Related Work. When salaries and/or other activities are being supported by two or more PHS grant projects, grantees may charge costs to the project for which the costs are originally approved, or to another PHS project with the prior approval of the PHS awarding office, provided all of the following conditions are met:

(i) The projects are scientifically and technically related;

(ii) The projects are under the direction of the same principal investigator/project director;

(iii) The projects have been funded by the same PHS awarding office;

(iv) There is no change in the scope of the individual grants involved;

(v) The relating of costs will not be detrimental to the conduct of work approved under each individual award; and

(vi) The relatedness will not be used to circumvent the terms and conditions of an individual award.

(23) Indemnification Against Third Parties.

(24) Transfer of Funds Between Construction and Nonconstruction.

(25) Retroactive Approval. If recipients have failed to obtain prior approval from PHS, where required, they may request retroactive approval from the PHS awarding office. Retroactive approvals may be granted only where:

(i) The transaction would have been approved had the approval been requested in advance.

(ii) The transaction is approved by an official who has the authority to grant such approvals (the Grants Management Officer or other official who has the authority to obligate the Government).

(iii) The organization agrees to institute controls to ensure that prior approval requirements are met in the future.



Centers for Disease Control
Atlanta GA 30333

Mr. William T. Small
School of Public Health
The University of North Carolina
at Chapel Hill
CB #7400
Chapel Hill, NC 27599-7400

Reference: Notice of Grant Award Number R13/CCR497403-01

Dear Mr. Small:

Enclosed is the Notice of Award for the "Minority Health Conference With Focus on Environmental Health Issues" conference referenced above.

The Project Officer listed on the enclosed Contact List will be responsible for the review and programmatic monitoring of your assistance award. The Grants Management Specialist, also listed, has been assigned the business management responsibilities for your award. An original and two copies of all correspondence, including, all reports, should be addressed to the Grants Management Officer, Attention: Oppie Byrd, with a copy to the Project Officer.

All reports and correspondence pertaining to your award should reference the number that appears on the award document and should be reflected as shown above.

In the event you make changes in your project, I have enclosed a copy of "Expanded Grant Authorities for Research Activities at Grantee Organizations" which identifies those items requiring prior approval of the Grants Management Officer. All such requests must be co-signed by the Project Director and an official of your business office.

A Financial Status Report (FSR), also enclosed, must be submitted within 90 days after the end of the budget/project period and should include a report of funds authorized and expended during that period.

If you have any questions concerning this award, please contact the appropriate individual on the contact list.

Sincerely yours,

Henry S. Cassell, III
Grants Management Officer
Procurement and Grants Office

Enclosures

cc: Business Office
William A. Murrain (A50)

1. DATE ISSUED
06/09/1992

2. FEDERAL CATALOG NO.
93.283

DEPARTMENT OF HEALTH AND HUMAN SERVICES
PUBLIC HEALTH SERVICE
CENTERS FOR DISEASE CONTROL
PROCUREMENT AND GRANTS OFFICE
255 E. PACES FERRY ROAD, N.E.
ATLANTA, GEORGIA 30305

3. SUPERSEDES AWARD NOTICE DATED
EXCEPT THAT ANY ADDITIONS OR RESTRICTIONS
PREVIOUSLY IMPOSED REMAIN IN EFFECT UNLESS SPECIFICALLY RESCINDED.

4. GRANT NO.
R13/CCR497403-01
FORMERLY:

5. ADMINISTRATIVE CODES
CCR13

NOTICE OF GRANT AWARD

AUTHORIZATION (LEGISLATION/REGULATION)

SECTION 301 (42 USC 241 AND 242N)

6. PROJECT PERIOD
FROM 06/01/1992 THROUGH 05/31/1993

7. BUDGET PERIOD
FROM 06/01/1992 THROUGH 05/31/1993

8. TITLE OF PROJECT (OR PROGRAM) (LIMIT TO 53 SPACES)

MINORITY HEALTH CONFERENCE WITH FOCUS ON ENVIRONMENTAL HEALTH ISSUES

9. GRANTEE
THE UNIVERSITY OF NC AT CHAPEL HILL
SCHOOL OF PUBLIC HEALTH
CB #7400
CHAPEL HILL, NC 27599-7400

10. DIRECTOR OF PROJECT (PROGRAM OR CENTER DIRECTOR,
COORDINATOR OR PRINCIPAL INVESTIGATOR)
WILLIAM T. SMALL, MSPH

11. APPROVED BUDGET (EXCLUDES PHS DIRECT ASSISTANCE)

GRANT FUNDS ONLY TOTAL PROJECT COSTS INCLUDING
GRANT FUNDS AND ALL OTHER
FINANCIAL PARTICIPATION.

A. PERSONAL SERVICE.....	\$ 0
B. FRINGE BENEFITS.....	0
C. CONSULTANTS.....	0
D. TRAVEL.....	2,000
E. EQUIPMENT.....	0
F. SUPPLIES.....	1,945
G. CONTRACTUAL.....	0
H. PATIENT CARE.....	0
I. CONSTRUCTION(A & R).....	0
J. TRAINEE COSTS.....	0
K. OTHER.....	1,625
L. TOTAL DIRECT COSTS.....	5,570
M. INDIRECT COSTS.....	0
RATE: 0.0000 *	
N. TOTAL APPROVED BUDGET.....	5,570

O. FEDERAL SHARE \$ 5,570
P. NON-FEDERAL SHARE * \$ 0

* MUST MEET ALL MATCHING OF COST PARTICIPATION REQUIREMENTS.
SUBJECT TO ADJUSTMENT IN ACCORDANCE WITH PHS POLICY.

12. AWARD COMPUTATION FOR GRANT

A. AMOUNT OF PHS FINANCIAL ASSISTANCE (FROM 11.0).....	\$ 5,570
B. LESS UNOBLIGATED BALANCE FROM PRIOR BUDGET PERIODS.....	\$ 0
C. LESS CUMULATIVE PRIOR AWARD(S) THIS BUDGET PERIOD.....	\$ 0
D. AMOUNT OF THIS ACTION.....	\$ 5,570

13. RECOMMENDED FUTURE SUPPORT (SUBJECT TO THE AVAILABILITY
OF FUNDS AND SATISFACTORY PROGRESS OF THE PROJECT)

BUDGET YEAR	TOTAL DIRECT COSTS	BUDGET YEAR	TOTAL DIRECT COSTS
A. 0	0	E. 0	0
B. 0	0	F. 0	0
C. 0	0	G. 0	0
D. 0	0		

14. APPROVED DIRECT ASSISTANCE BUDGET (IN LIEU OF CASH)

A. PERSONAL SERVICE.....	\$ 0
B. TRAVEL.....	0
C. VACCINE.....	0
D. OTHER.....	\$ 0
E. TOTAL DIRECT ASSISTANCE.....	\$ 0

15. PROGRAM INCOME SUBJECT TO 45 CFR 74.42 SHALL BE:

- A. USED TO FURTHER THE OBJECTIVES OF THE LEGISLATION UNDER WHICH THE GRANT WAS MADE.
 B. DEDUCTED FROM TOTAL PROJECT COSTS FOR THE PURPOSE OF DETERMINING THE NET COSTS ON WHICH THE FEDERAL SHARE OF COSTS SHALL BE BASED.
 C. OTHER--SEE SPECIAL CONDITIONS
 D. N.A.

16. THIS GRANT IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

- A. THE GRANT PROGRAM LEGISLATION CITED ABOVE.
 B. THE GRANT PROGRAM REGULATION CITED ABOVE.
 C. THIS AWARD NOTICE INCLUDING TERMS AND CONDITIONS, IF ANY, NOTED BELOW UNDER REMARKS.
 D. PHS GRANTS POLICY STATEMENT IN EFFECT AS OF THE BEGINNING DATE OF THE BUDGET PERIOD.
 E. 45 CFR PART 74.

IN THE EVENT THERE ARE CONFLICTING OR OTHERWISE INCONSISTENT POLICIES APPLICABLE TO THE GRANT, THE ABOVE ORDER OF PRECEDENCE SHALL PREVAIL.
ACCEPTANCE OF THE GRANT TERMS AND CONDITIONS IS ACKNOWLEDGED BY THE GRANTEE WHEN FUNDS ARE DRAWN OR OTHERWISE OBTAINED FROM THE GRANT PAYMENT SYSTEM.

REMARKS 45 CFR PART 92 APPLIES TO THIS AWARD.

SPONSOR: OFFICE OF THE DIRECTOR, CDC

AGENCY OFFICIAL *Henry S. Caspell III*
HENRY S. CASPELL, III, GRANTS MANAGEMENT OFFICER

17. PHS LIST NO. CO-197-C92 FY-CAN	OBJ.CLASS 41.41	CRS.EIN 1-566001393-A1	17. ORGANIZATION DESCRIPTORS 12..40	
20.A 2-AXX09 2-9210004	B. CCR497403	C. CCR13	D. 5,570	E. 0
21.A	B.	C.	D.	E.
22.A	B.	C.	D.	E.

NOTICE OF GRANT
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(Continuation Sheet)

Page	Date Issued
2 Of 4	JUN 2 2 1992
Grant No.	R13/CCR497403-01

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Publications, journal articles, etc., produced under a PHS grant-supported project must bear an acknowledgment and disclaimers, as appropriate, such as:

"This publication (Journal article, etc.) was supported by Grant Number _____ from (name of awarding agency). Its contents are solely the responsibility of the authors and do not necessarily represent the official views of (name of awarding agency)."

ACKNOWLEDGEMENT OF FEDERAL GRANT SUPPORT

When issuing statements, press releases, requests for proposal, bid solicitations, and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds, including but not limited to state and local governments, shall clearly state: 1) the percentage of the total cost of the program or project which will be financed with Federal money, 2) the dollar amount of Federal funds for the project or program, and 3) the percentage and dollar amount of the total costs of the project or program that will be financed by nongovernmental sources.

EXPANDED AUTHORITIES

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REPORTING REQUIREMENTS

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Atlanta, GA 30305
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A. PMS correspondence, mailed through the U.S. Postal Service, should be addressed as follows:

Federal Assistance Financing Branch
DASP/DASF/OS/DHHS
P.O. Box 6021
Rockville, MD 20852

B. If a carrier other than the U.S. Postal Service is used, such as United Parcel Service, Federal Express, or other commercial service, the correspondence should be addressed as follows:

Federal Assistance Financing Branch
DASP/DASF/OS/DHHS
Rockwall Building, Room 833
11400 Rockville Pike
Rockville, MD 20852

Inquiries regarding HHS single letter of credit should be directed to 301/443-1250.

To expedite your first payment from this award, attach a copy of the Notice of Grant/Cooperative Award to your payment request form.

NOTICE

For your information, the HHS Inspector General maintains a toll-free telephone number, 800-368-5779, for receiving information concerning fraud, waste of abuse under grants and cooperative agreements. Such reports are kept confidential, and callers may decline to give their names if they choose to remain anonymous.

PHS Circular 89.02
Grants Administration Manual
Issue Date: June 1, 1989

SUBJECT: EXPANDED GRANT AUTHORITIES FOR RESEARCH ACTIVITIES AT
GRANTEE ORGANIZATIONS

A. BACKGROUND

In March 1986, five Federal agencies, 10 universities in the State of Florida, and the Government/University/Industry Roundtable of the National Academy of Sciences/National Research Council undertook a demonstration project, called the Florida Demonstration Project, designed to address ways in which administrative burdens on sponsored research could be reduced. The Office of Management and Budget (OMB), through OMB Memorandum 88-20, has encouraged Federal agencies to make use of the expanded authority features of the project which will reduce overhead costs and increase research productivity.

OMB set forth criteria on how to determine who should have the expanded authority. PHS has taken the view that its research grant recipients are in compliance with those criteria unless and until actions or situations present themselves which lead PHS to conclude that it would be in the best interest of the Government to remove the expanded authority from a particular institution.

This Circular sets forth additional authorities which PHS is extending to recipients of PHS research grants.

B. APPLICABILITY

The expanded authorities in this Circular are applicable to all PHS research grants with the following exceptions: (1) Cooperative agreements, (2) awards to individuals or foreign institutions, (3) class deviations granted by the Office of the Assistant Secretary for Health (OASH) for a particular program or class of grants, and (4) when circumstances dictate that the expanded authority should not be extended to a specific research grant (because of action such as the designation of the recipient as "high risk").

The National Institutes of Health and the Alcohol, Drug Abuse and Mental Health Administration have received a class deviation from OASH and are applying the expanded authorities only to the "R" series of research grants with the following exceptions: R10, Cooperative Clinical Research Grants; R18, Research Demonstration and Dissemination Projects, R43, Small Business Innovation Research Grants - Phase I; and R44, Small Business Innovation Research Grants - Phase II.

The Notices of Grant Award issued within the covered research programs will state whether or not the expanded authorities are applicable.

C. EXPANDED AUTHORITIES

Several of the expanded authorities have specific deadlines for submission of reports or for timely notification to the PHS awarding component. Grantees should be aware that any pattern of failure to adhere to those deadlines for reporting or notification shall be grounds for excluding that grantee institution from these special provisions.

1. EXTENSIONS WITHOUT ADDITIONAL FUNDS

The grantee organization may extend the final budget period of a research project one time for a period of up to 1 year beyond the original expiration date shown on the Notice of Grant Award. Such an extension may be made when no additional funds are required to be obligated by the awarding office, there will be no change in the project's originally approved scope or objectives, and any one of the following applies:

- a. Additional time beyond the established expiration date is required to assure adequate completion of the originally approved project; or
- b. Continuity of PHS grant support is required while a competing continuation application is under review; or
- c. the extension is necessary to permit an orderly phaseout of a project that will not receive continued support.

The fact that funds remain at the expiration date of the grant is not in itself sufficient justification for an extension without additional funds.

The grantee organization must notify the PHS awarding component in writing of the extension 10 days prior to the expiration date of the project period. Upon notification, the PHS awarding component will issue a revised Notice of Grant Award to reflect the change of the expiration date. Grantees may not extend project periods previously extended by the PHS awarding component.

In extending the final budget period of the grant through this process, the grantee agrees to automatically extend the applicability of all certifications required for funding of the original budget period of the grant to the new extended period of support, e.g., animal welfare, drug free workplace, human subjects, misconduct in science, etc.

2. PREAWARD COSTS

A grantee organization may, at its own risk, incur obligations and expenditures to cover costs prior to the beginning date of an award provided the following criteria are met:

- a. The costs concerned are considered necessary for the conduct of the project;
- b. The costs are allowable under the potential award; and
- c. PHS written prior approval is obtained when required.

Such preaward costs may be incurred within 90 days prior to the beginning date of the award without PHS prior approval. Preaward costs incurred more than 90 days prior to the beginning date of the award require PHS written prior approval.

PHS expects the grantee organization to be fully aware that preaward costs must not impair its ability to accomplish project objectives or in any way adversely affect the conduct of the project. Additionally, the incurrence of costs prior to the award of a grant imposes no obligation on PHS to make an award.

Please note that this authority is identical to existing PHS policy contained in the Grants Policy Statement except that this Circular deletes the requirement that the application has been recommended for approval by an objective review group or by a National Advisory Council.

3. CARRYOVER OF UNOBLIGATED BALANCES

Except for funds restricted on a Notice of Grant Award, grantee organizations are authorized to carry over unobligated research grant funds remaining at the end of a budget period.

The grantee organization must notify the PHS awarding component whether they have elected to carry over unobligated balances and the amount to be carried over. The notification shall be provided in item 12, "Remarks," of the Financial Status Report (FSR). A revised Notice of Grant Award will not be issued to reflect the carryover. Any unobligated balance not specified for carryover on the FSR shall be available for disposition by the PHS awarding component. Grantee organizations are required to submit the FSR within 90 days after the expiration of a budget period.

4. COST-RELATED PRIOR APPROVALS

The requirements for prior Federal approval of expenditures under the applicable cost principles are waived, with the

following two exceptions: Prior approval for capital expenditures for land and buildings is not waived because such capital expenditures are allowable only when the program's legislation or regulations so permit. Prior approval for indemnification against liabilities to third parties and any other loss or damage not compensated by insurance or otherwise is not waived because the Government is obligated to indemnify the organization only to the extent expressly provided in the award.

Requirements for allowability, reasonableness, allocability, and consistency of costs are still applicable.

5. USE OF PROGRAM INCOME

The grantee organization may use the additional costs alternative for the use of program income, unless regulations or the Notice of Grant Award specify another alternative or a combination of alternatives.

D. PRIOR APPROVAL AUTHORITIES RETAINED BY PHS

1. CHANGE OF SCOPE OR RESEARCH OBJECTIVES.

The grantee organization is required to seek approval from the awarding component when there is a change in the scope or research objectives of the project. Actions likely to be considered a change in scope or objectives include, but are not limited to, the following:

- a. A change in the specific aims approved at the time of award;
- b. Substitution of one animal model for another;
- c. Any change from the approved use of animals or human subjects;
- d. Shifting the emphasis of the research from one disease area to another;
- e. Applying a new technology, i.e., changing assays from those approved to use of a different type of assay;
- f. Transferring the performance of substantive programmatic work to a third party by contract or any other means;
- g. Change in key personnel whose expertise is critical to the approved project;
- h. Significant rebudgeting -whether or not it requires

approval under rules governing budget changes; and

- i. Incurrence of patient care costs where the need has not previously been approved by PHS and/or when a grantee desires to rebudget funds out of the patient care category.

This list clearly is not all-inclusive. In the event of uncertainty as to whether a particular change is significant enough to require prior approval, questions should be referred to the Grants Management Officer (GMO) of the PHS awarding component for final determination.

2. CHANGE IN PRINCIPAL INVESTIGATOR (PI)

The grantee organization is required to seek approval in writing before a substitute PI is appointed to replace an absent or departed PI. If the PI is absent from the project for a period of 3 months or more, a substitute PI must be proposed by the grantee organization and must be approved by the PHS awarding component. The request for approval of a substitute PI should include a justification for the change, the curriculum vitae of the individual proposed, and any budgetary changes resulting from the proposed change.

3. CHANGE OF GRANTEE ORGANIZATION

PHS awarding component approval is required to transfer a grant from one grantee organization to another. PHS awarding component approval is also required for successor-in-interest situations. A successor-in-interest may occur as a result of a merger, divestiture, or other corporate change in which all or part of the assets involved in the performance of the grant-supported activity(ies) are transferred to another entity.

4. ACTIONS REQUIRING ADDITIONAL FEDERAL FUNDS

PHS awarding component prior approval is required if the grantee organization takes action that generates a need for additional Federal funding to carry out the project.

5. RETENTION OF RESEARCH GRANT FUNDS WHEN A RESEARCH CAREER DEVELOPMENT AWARD (RCDA) IS AWARDED

Funds budgeted in a PHS-supported research grant for an individual's salary and/or fringe benefits, but freed as a result of receiving an RCDA for that individual, may not be used for any other purpose without the prior approval of the PHS awarding component.

6. AWARD TERMS AND CONDITIONS

Deviations from special terms or conditions stated on the Notice of Grant Award require prior approval from the PHS awarding component.

7. CLOSELY-RELATED WORK

When salaries and/or other activities are being supported by two or more PHS grant projects from the same awarding component, grantees may charge costs to the project for which the costs are originally approved or to another closely-related PHS project only after approval by the GMO.

8. APPROVAL BY THE OFFICE OF THE ASSISTANT SECRETARY FOR PUBLIC AFFAIRS OF PUBLICATIONS AND AUDIOVISUAL MATERIALS OVER \$25,000 FOR A SINGLE ITEM

9. PREAWARD COSTS INCURRED MORE THAN 90 DAYS PRIOR TO THE EFFECTIVE DATE OF ANY NEW OR COMPETING CONTINUATION AWARD

10. DRAWINGS/SPECIFICATIONS FOR ALTERATIONS AND RENOVATIONS OVER \$25,000

11. INDEMNIFICATION AGAINST THIRD PARTIES

12. CAPITAL EXPENDITURES FOR LAND AND BUILDINGS

13. RETROACTIVE APPROVAL

E. METHOD OF OBTAINING PHS AWARDING COMPONENT PRIOR APPROVAL

All requests that require prior approval must be submitted in writing to the GMO designated on the Notice of Grant Award. All requests must bear the signature of an authorized official of the business office of the grantee organization as well as the P.I. The GMO is responsible for informing the grantee, in writing, of the final disposition of the request. Grantees should ensure that the written approval or disapproval of such requests is signed by the GMO who signed the Notice of Grant Award, or his/her designee. Grantees who take action on the basis of letters or telephone conversations from unauthorized officials do so at their own risk. Such responses will not be considered binding by PHS.

F. EFFECTIVE DATE

The provisions of this policy have previously been announced through other mechanisms. Therefore, this Circular is effective for all research grant awards covered by the provisions of this Circular which are made on or after October 1, 1988.

G. EXPIRATION DATE

This Circular will remain in effect until cancelled or until its content is incorporated into the main body of the PHS Grants Administration Manual.

John C. West
Director
Office of Resource Management, OM/PHS

INTERNAL PROCESSING FORM—APPLICATION FOR GRANT, CONTRACT,
OR COOPERATIVE AGREEMENT

TYPE:

New Proposal X ; Revised Request _____ ; Renewal _____ ; Supplement _____ ; Continuation _____

UNC-CH '93 0 30 4

1. Constituent Institution UNC-CH Proposal Number 00
 School, College, or Other Administrative Unit School of Public Health
 Department DIV. OF External Affairs Institute or Center _____
 Title of Proposal MINORITY HEALTH CONFERENCE WITH FOCUS ON ENVIRONMENTAL HEALTH ISSUES
 Principal Investigator(s) or Project Director(s) William T. Small

2. Funding Agency (Complete mailing address) Centers for Disease Control
Procurement and Grants Office, 255 E Paces Ferry Rd, N.E. Atlanta, GA 30305
 Agency Mailing Deadline _____ Total Amount Requested \$5,570
 If Multiple Year, Current Year Request _____
 Proposed Beginning Date 6 1 92 Termination Date 5 31 93
(Current Request) Month Day Year Month Day Year

Please answer the following questions. Explain on a separate sheet any Items 3-6 marked "yes" and Items 7, 10, and 11 if the companion question is marked "no."

- | | | |
|--|--------------------------|-------------------------------------|
| | Yes | No |
| 3. Has The University or the institution any expressed or implied commitment to continue this activity or to retain personnel employed exclusively for this activity beyond the expiration date of this project? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 4. Does the proposal involve the creation of a new organization unit within the institution? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 5. Does the proposal involve the creation of or planning for a new <i>degree program</i> or <i>program track</i> ? (A <i>degree program</i> is defined as "all courses of study leading to a degree or to professional certification at a specific level within a given discipline specialty of the HEGIS taxonomy." A <i>program track</i> is a course of study within a program which leads to a degree or to professional certification.) | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 6. Will the proposal impede the elimination of racial duality in The University? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 7a. Does the funding organization <i>require</i> funds or contributions in the form of cash matching () or cost sharing ()? (Check as applicable.) | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| b. If yes, can they be provided from current institutional resource levels? | <input type="checkbox"/> | <input type="checkbox"/> |
| 8. Does this proposal involve one or more other institutions or organizations? If yes, please list participants: _____ | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 9a. Does the proposal involve research with any subject or substance which requires review by a designated individual, office, or committee? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| b. If yes, check as applicable and indicate date reviewed or scheduled for review, and results of review as Approved (A), Pending (P), or Exempt (E). | | |

Subject or Substance	Date Reviewed or to be Reviewed	Results of Review		
		A	P	E
() Human Subjects	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
() Animal Subjects	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
() Radioactive Materials	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
() Potential Biological Hazards (Viruses, Recombinant DNA, etc.)	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
() Chemical Hazards (poisons, explosives, reagents, flammables, carcinogens, etc.)	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

RECEIVED
 RESEARCH SERVICES
 UNC-CH
 SEP 11 11 23 88

THE UNIVERSITY OF NORTH CAROLINA
ABSTRACT OF APPLICATION FOR GRANT, CONTRACT, OR COOPERATIVE AGREEMENT

Title: Unsolicited Proposal - Minority Health Conference with a focus on
Environmental Health Issues

Number: 00

UNC-CH '93 0304

Principal Investigator(s)/Project Director(s): William T. Small, Assistant Dean for Student Affairs

INSTRUCTIONS

Items to be included in the Abstract

The Abstract should be plainly written, limited to not more than one page, and in sufficient detail to summarize:

1. the purpose(s) or problems(s),
2. the hypothesis(es) or objective(s), and
3. the method(s) of the project.

Program Objectives

The 14th Annual Minority Health Conference aims to:

Present a balanced perspective of the multi-faceted health issues that face minority persons to the detriment of their health.

Describe environmental health issues that contribute to and impact on heightened risks of adverse health events (including cancer among minority persons).

Inspire and motivate investigators and students to further research in these focal areas.

THE UNIVERSITY OF NORTH CAROLINA
ABSTRACT OF APPLICATION FOR GRANT, CONTRACT, OR COOPERATIVE AGREEMENT

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Number: 00

UNCW 930304

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Inspire and motivate investigators and students to further research in these focal areas.

Proposal
The Fourteenth Annual Minority Health Conference
School of Public Health
University of North Carolina at Chapel Hill

Background

Toward the latter 1970's, members of the Minority Student Caucus initiated the idea of sponsoring an annual event in the School of Public Health with emphasis on minority health. The concept of having a minority health conference evolved from a concern over the limited curricula on minority health in the School of Public Health during the mid 1970's. Members of the Caucus believed that such a conference should have the following objectives: 1) accentuate minority health issues; 2) augment existing School curricula and promote new courses on minority health; 3) sensitize non-minority students and faculty to special health concerns of minority populations; 4) attract prospective minority graduate students and 5) promote interaction of participants with outstanding health care professionals, both minority and non-minority. Owing to the fact that February signifies Black History Month, the students proposed that the conference be held during that time. Supported totally through funding from the Dean's Office of the School of Public Health, the First Annual Minority Health Conference was held in February 1977 and attracted approximately 100 participants.

The conference has grown significantly since the seventies. In addition to continued support from the Dean's Office, various components of the University and Research Triangle community have contributed to the success of the conference. For example, the 1991 Conference, entitled "Innovative Approaches to Minority Health Issues" was co-sponsored by the Minority Cancer Control Research Program of the UNC Lineberger Comprehensive Cancer Center, UNC Office of the Vice Chancellor for Health Affairs, NC Health Careers Access Program, Medical Education Development Program of the UNC Medical School, the Office of Rural Health and Resource Development in the North Carolina Department of Human Resources and the NC Mutual Life Insurance Company.

Throughout the fourteen years, minority students were instrumental in planning all aspects of the Minority Health Conference. The current Conference Planning Committee consists of School of Public Health faculty, students and staff, and representatives of the North Carolina Health Careers Access Program and the Lineberger Comprehensive Cancer Center Minority Cancer Control Research Program.

THE MINORITY HEALTH CONFERENCE
PAGE 2

Program

Entitled "Beyond the Rhetoric: Developing Solutions to Minority Health Issues", the 1992 Conference, scheduled for February 6-7, will highlight four topics of importance to minority health: Family Health, Health Services, Environmental Health and Cancer Control. Conference objectives are to:

1. Raise awareness on issues central to minority health
2. Provide a forum for developing solutions to minority health
3. Facilitate networking among participants and others concerned about minority health
4. Inspire minority students to pursue public health careers.

The Conference is expected to attract approximately 300 participants, including the following:

1. Staff and volunteers at local health departments, community health centers, rural health clinics, community action organizations, and state and rural development agencies
2. Minority college students and their academic advisors
3. UNC School of Public Health faculty, students and alumni
4. Faculty and students from historically black and minority colleges

The preliminary program highlighting confirmed speakers and participants is attached.

Budget Needs

Last year, the conference charged a \$25 registration fee (including lunch) for working professionals and waived fees for students, except for a \$5 charge to attend lunch. In order to meet the increased expenses of a two-day conference, we have increased the registration fee to \$35, excluding lunch. To encourage student participation we will again permit free attendance for students. This year we will charge \$5 to all individuals for lunch to help offset a portion of lunch costs. Anticipated conference income from registration fees and various sources amounts to \$12,000, with projected expenses of \$17,570.

In recognition of last year's enthusiastic response as well as the prominent inclusion in the School's Strategic Plan of a goal for minority health, the Dean's Office has increased its financial support for this activity from \$1000 to 2500, plus in-kind contributions from the Dean's Office and Office of Continuing Education. The Dean's Office has committed an equal dollar amount of financial aid to support future Minority Health Conferences sponsored by the School of Public Health.

Budget Needs (continued)

The School of Public Health Minority Health Conference Committee needs your financial support to help cover the budget deficit of \$5570 for this year's conference. We are also requesting financial contributions to allow for future conference planning and coordination. Major conference contributors of more than \$1000 will be featured in the program as a co-sponsor.

The proposed conference budget is attached.

Revised November 8, 1991
Prepared By: Pamela Horne

1992 Minority Health Conference

Beyond the Band-Aid: Developing Solutions to Minority Health Issues

PROJECTED PROGRAM BUDGET

NON-SPH PERSONNEL ITEMS:

Honoraria	\$1250	
Travel	\$2000	
Housing/Per Diem	\$ 375	
SUBTOTAL		\$3625

DCHS STAFF TIME: \$2500

MARKETING AND PROMOTION:

Printing	\$650	
Labels	\$800	
Bulk Postage	\$840	
Paper Costs	\$405	
Stationary and Flyer Mailing	\$150	
SUBTOTAL		\$2845

FACILITY AND CATERING:

Meals (\$15/person) lunch	\$5250	
Breaks (\$2/person) 2 days*	\$1000	
SUBTOTAL		\$6250

*350 participants - 1st day
150 participants - 2nd day

INSTRUCTIONAL MATERIALS
& SUPPLIES: \$1550

ADMINISTRATION/OTHER: \$375

OTHER EXPENSES:

Floral Arrangement	\$ 75	
Video Taping (10 hours)	\$350	
SUBTOTAL		\$425

GRAND TOTAL EXPENSES: \$17570

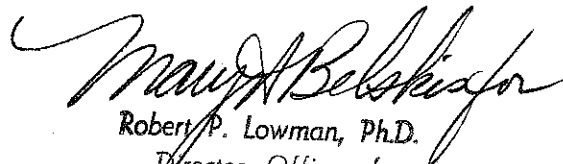
SOURCES OF INCOME:

Participant Fees	\$5800
Dean's Office	\$2500
Vice Chancellor	\$1000
Student Union	\$ 300
NC Mutual (printing)	\$ 65
Lunch Fees (\$5/person)	\$1750

TOTAL DCHS INCOME: \$12000

TOTAL DCHS EXPENSES: \$17570

DIFFERENCE -5570


Robert P. Lowman, Ph.D.
Director, Office of
Research Services

THE UNIVERSITY OF NORTH CAROLINA

INTERNAL PROCESSING FORM—APPLICATION FOR GRANT, CONTRACT,
OR COOPERATIVE AGREEMENT

TYPE:

New Proposal X ; Revised Request _____ ; Renewal _____ ; Supplement _____ ; Continuation _____

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Principal Investigator(s) or Project Director(s) William T. Small

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Please answer the following questions. Explain on a separate sheet any Items 3-6 marked "yes" and Items 7, 10, and 11 if the companion question is marked "no."

- | | Yes | No |
|--|--------------------------|-------------------------------------|
| 3. Has The University or the institution any expressed or implied commitment to continue this activity or to retain personnel employed exclusively for this activity beyond the expiration date of this project? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 4. Does the proposal involve the creation of a new organization unit within the institution? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 5. Does the proposal involve the creation of or planning for a new <i>degree program</i> or <i>program track</i> ? (A <i>degree program</i> is defined as "all courses of study leading to a degree or to professional certification at a specific level within a given discipline specialty of the HEGIS taxonomy." A <i>program track</i> is a course of study within a program which leads to a degree or to professional certification.) | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 6. Will the proposal impede the elimination of racial duality in The University? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| 7a. Does the funding organization <i>require</i> funds or contributions in the form of cash matching () or cost sharing ()? (Check as applicable.) | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
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| 9a. Does the proposal involve research with any subject or substance which requires review by a designated individual, office, or committee? | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| b. If yes, check as applicable and indicate date reviewed or scheduled for review, and results of review as Approved (A), Pending (P), or Exempt (E). | | |

Subject or Substance	Date Reviewed or to be Reviewed	Results of Review		
		A	P	E
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() Animal Subjects	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
() Radioactive Materials	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
() Potential Biological Hazards (Viruses, Recombinant DNA, etc.)	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
() Chemical Hazards (poisons, explosives, reagents, flammables, carcinogens, etc.)	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

10. Does the proposal involve the use of consultants for other than educational services or research?
- b. If yes, has it been cleared with the designated office at your institution?
- 11a. Does the proposal require personnel (), space (), or equipment () in addition to that presently available for the project, or does it require alterations to physical plant (), or installation or maintenance of equipment ()? (Check as applicable.)
- b. If yes, have the appropriate officers of the institution agreed that they/it can be provided within the limits of funds being requested?
- c. If the proposal requires the purchase of equipment, have you determined that such equipment is not available at your campus for this project?
12. Does the work involve the use of one or more facilities which require scheduling, user fees, or both? If yes, give name of facility, check fee, scheduling, or both, and indicate if approval of appropriate responsible individual has been obtained:

Facility: _____
 Requires fee (), scheduling ();
 approval obtained (), pending ().

13. Does the proposal require clearance under state Clearinghouse regulations?
 If yes, give date of clearance _____
 If not secured, give date of submission to Clearinghouse _____
14. Does this proposal have the potential to result in a patentable invention or item of technology?
15. Although The University does not ordinarily engage in classified research, would this project involve carrying out classified research on campus?
16. Will accepting support require security clearance on the part of any University personnel involved in the project?

17. Campus Concerns:

- 17a. Does the proposal, or the later staffing of the project, involve the employment of related persons?
- 17b. If the hiring of related persons is anticipated, has the Affirmative Action Office reviewed the procedures, which would result in their employment? If yes, date of Affirmative Action review _____ Pending ().
- 17c. Was Principal Investigator(s) hired following full Affirmative Action search for a position(s), the terms of which extends at least as long as activity described herein? If no, has the Affirmative Action Officer approved the exception? (Attach letter.)
- 18a. Does the project involve computer time?
- 18b. If yes, are funds asked for in the proposal?

Employment in the proposed project will be nondiscriminatory as to race, color, national origin, religion, sex, handicap, or age, and veteran status will be considered, as required by federal law.

Signature of Principal Investigator or Project Director William Small Date 9/10/92

Signatures indicating approval (those not applicable should be so indicated):

Department Head Richard M. House, Jr. Date 9/10/92
 Richard M. House, Associate Dean External Affairs

Director of Institute/Center _____ Date _____

Dean of School Michel A. Ibrahim Date 9/10/92
 Michel A. Ibrahim, Dean

_____ Date _____

_____ Date _____

Chief Finance Officer or Designee _____ Date _____

Chancellor or Designee _____ Date _____

06/09/1992

93.283

DEPARTMENT OF HEALTH AND HUMAN SERVICES
PUBLIC HEALTH SERVICE
CENTERS FOR DISEASE CONTROL
PROCUREMENT AND GRANTS OFFICE
255 E. PACES FERRY ROAD, N.E.
ATLANTA, GEORGIA 30305

3. SUPERSEDES AWARD NOTICE DATED
EXCEPT THAT ANY ADDITIONS OR RESTRICTIONS
PREVIOUSLY IMPOSED REMAIN IN EFFECT UNLESS SPECIFICALLY RESCINDED.

4. GRANT NO. R13/CCR497403-01
FORMERLY:
5. ADMINISTRATIVE CODES CCR13

6. PROJECT PERIOD FROM 06/01/1992 THROUGH 05/31/1993

7. BUDGET PERIOD FROM 06/01/1992 THROUGH 05/31/1993

NOTICE OF GRANT AWARD

AUTHORIZATION (LEGISLATION/REGULATION)
SECTION 301 (42 USC 241 AND 242N)

8. TITLE OF PROJECT (OR PROGRAM) (LIMIT TO 53 SPACES)

MINORITY HEALTH CONFERENCE WITH FOCUS ON ENVIRONMENTAL HEALTH ISSUES

9. GRANTEE
THE UNIVERSITY OF NC AT CHAPEL HILL
SCHOOL OF PUBLIC HEALTH
CB #7400
CHAPEL HILL, NC 27599-7400

10. DIRECTOR OF PROJECT (PROGRAM OR CENTER DIRECTOR,
COORDINATOR OR PRINCIPAL INVESTIGATOR)
WILLIAM T. SMALL, MSPH

11. APPROVED BUDGET (EXCLUDES PHS DIRECT ASSISTANCE)

GRANT FUNDS ONLY II TOTAL PROJECT COSTS INCLUDING
GRANT FUNDS AND ALL OTHER
FINANCIAL PARTICIPATION.

A. PERSONAL SERVICE.....	\$ 0
B. FRINGE BENEFITS.....	0
C. CONSULTANTS.....	0
D. TRAVEL.....	2,000
E. EQUIPMENT.....	0
F. SUPPLIES.....	1,945
G. CONTRACTUAL.....	0
H. PATIENT CARE.....	0
I. CONSTRUCTION(A & R).....	0
J. TRAINEE COSTS.....	0
K. OTHER.....	1,625
L. TOTAL DIRECT COSTS.....	5,570
M. INDIRECT COSTS.....	0
RATE: 0.0000 *	
N. TOTAL APPROVED BUDGET.....	5,570

12. AWARD COMPUTATION FOR GRANT

A. AMOUNT OF PHS FINANCIAL ASSISTANCE (FROM 11.0).....	\$ 5,570
B. LESS UNOBLIGATED BALANCE FROM PRIOR BUDGET PERIODS.....	\$ 0
C. LESS CUMULATIVE PRIOR AWARD(S) THIS BUDGET PERIOD.....	\$ 0
D. AMOUNT OF THIS ACTION.....	\$ 5,570

13. RECOMMENDED FUTURE SUPPORT (SUBJECT TO THE AVAILABILITY
OF FUNDS AND SATISFACTORY PROGRESS OF THE PROJECT)

BUDGET YEAR	TOTAL DIRECT COSTS	BUDGET YEAR	TOTAL DIRECT COSTS
A. 0	0	E. 0	0
B. 0	0	F. 0	0
C. 0	0	G. 0	0
D. 0	0		

14. APPROVED DIRECT ASSISTANCE BUDGET (IN LIEU OF CASH)

A. PERSONAL SERVICE.....	\$ 0
B. TRAVEL.....	0
C. VACCINE.....	0
D. OTHER.....	\$ 0
E. TOTAL DIRECT ASSISTANCE.....	\$ 0

15. PROGRAM INCOME SUBJECT TO 45 CFR 74.42 SHALL BE:

- A. USED TO FURTHER THE OBJECTIVES OF THE LEGISLATION UNDER WHICH THE GRANT WAS MADE.
- B. DEDUCTED FROM TOTAL PROJECT COSTS FOR THE PURPOSE OF DETERMINING THE NET COSTS ON WHICH THE FEDERAL SHARE OF COSTS SHALL BE BASED.
- C. OTHER--SEE SPECIAL CONDITIONS
- D. N.A.

16. THIS GRANT IS SUBJECT TO THE TERMS AND CONDITIONS INCORPORATED EITHER DIRECTLY OR BY REFERENCE IN THE FOLLOWING:

- A. THE GRANT PROGRAM LEGISLATION CITED ABOVE.
- B. THE GRANT PROGRAM REGULATION CITED ABOVE.
- C. THIS AWARD NOTICE INCLUDING TERMS AND CONDITIONS, IF ANY, NOTED BELOW UNDER REMARKS.
- D. PHS GRANTS POLICY STATEMENT IN EFFECT AS OF THE BEGINNING DATE OF THE BUDGET PERIOD.
- E. 45 CFR PART 74.

IN THE EVENT THERE ARE CONFLICTING OR OTHERWISE INCONSISTENT POLICIES APPLICABLE TO THE GRANT, THE ABOVE ORDER OF PRECEDENCE SHALL PREVAIL.
ACCEPTANCE OF THE GRANT TERMS AND CONDITIONS IS ACKNOWLEDGED BY THE GRANTEE WHEN FUNDS ARE DRAWN OR OTHERWISE OBTAINED FROM THE GRANT PAYMENT SYSTEM.

REMARKS 45 CFR PART 92 APPLIES TO THIS AWARD.

SPONSOR: OFFICE OF THE DIRECTOR, CDC

AGENCY OFFICIAL *Henry S. Cassell III*
HENRY S. CASSELL, III, GRANTS MANAGEMENT OFFICER

17. PHS LIST NO. C0-197-C92 FY-CAN	OBJ.CLASS 41.41	CRS.EIN 1-566001393-A1	17. ORGANIZATION DESCRIPTORS 12..40	
20.A 2-AXX09 2-9210004	B. CCR497403	C. CCR13	D. 5,570	E. 0
21.A	B.	C.	D.	E.
22.A	B.	C.	D.	E.

Mr. William T. Small
School of Public Health
The University of North Carolina
at Chapel Hill
CB #7400
Chapel Hill, NC 27599-7400

JUN 22 1993

Reference: Notice of Grant Award Number R13/CCR497403-01

Dear Mr. Small:

Enclosed is the Notice of Award for the "Minority Health Conference With Focus on Environmental Health Issues" conference referenced above.

The Project Officer listed on the enclosed Contact List will be responsible for the review and programmatic monitoring of your assistance award. The Grants Management Specialist, also listed, has been assigned the business management responsibilities for your award. An original and two copies of all correspondence, including, all reports, should be addressed to the Grants Management Officer, Attention: Oppie Byrd, with a copy to the Project Officer.

All reports and correspondence pertaining to your award should reference the number that appears on the award document and should be reflected as shown above.

In the event you make changes in your project, I have enclosed a copy of "Expanded Grant Authorities for Research Activities at Grantee Organizations" which identifies those items requiring prior approval of the Grants Management Officer. All such requests must be co-signed by the Project Director and an official of your business office.

A Financial Status Report (FSR), also enclosed, must be submitted within 90 days after the end of the budget/project period and should include a report of funds authorized and expended during that period.

If you have any questions concerning this award, please contact the appropriate individual on the contact list.

Sincerely yours,

Henry S. Cassell, III
Grants Management Officer
Procurement and Grants Office

Enclosures

cc: Business Office
William A. Murrain (A50)

NOTICE OF GRANT
AWARD
(Continuation Sheet)

Page	Date Issued
2 Of 4	JUN 22 1992
Grant No.	
R13/CCR497403-01	

REMARKS

This award provides partial support for the conference entitled "Minority Health Conference With Focus on Environmental Health Issues" in the amount of \$5570. The Program Announcement #208, the application dated December 10, 1991, as amended, are made a part of this award by reference.

Publications, journal articles, etc., produced under a PHS grant-supported project must bear an acknowledgment and disclaimers, as appropriate, such as:

"This publication (Journal article, etc.) was supported by Grant Number _____ from (name of awarding agency). Its contents are solely the responsibility of the authors and do not necessarily represent the official views of (name of awarding agency)."

ACKNOWLEDGEMENT OF FEDERAL GRANT SUPPORT

When issuing statements, press releases, requests for proposal, bid solicitations, and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds, including but not limited to state and local governments, shall clearly state: 1) the percentage of the total cost of the program or project which will be financed with Federal money, 2) the dollar amount of Federal funds for the project or program, and 3) the percentage and dollar amount of the total costs of the project or program that will be financed by nongovernmental sources.

EXPANDED AUTHORITIES

The recipient of this conference award is granted "expanded authority" in the administration of the award. The PHS Grants Policy Statement chapter dealing with expanded authorities is provided as guidance for administration.

REPORTING REQUIREMENTS

A final performance report and Financial Status Report (FSR) are required no later than 90 days after the end of the budget/project period. All reports are to be submitted to:

Henry S. Cassell, III
Grants Management Officer
Procurement & Grants Office
Centers for Disease Control
255 E. Paces Ferry Road, N.E.,
Room 300, Mailstop E-14
Atlanta, GA 30305
Telephone: (404) 842-6630

SMALL, MINORITY, AND WOMAN-OWNED BUSINESSES

It is a national policy to place a fair share of purchases with small, minority, and woman-owned business firms. The Department of Health and Human Services is strongly committed to the objectives of this policy and encourages all recipients of its grants and cooperative agreements to take affirmative steps to ensure such fairness. In particular, recipients should:

1. Place small, minority, and woman-owned business firms on bidders mailing lists.
2. Solicit these firms whenever they are potential sources of supplies, equipment, construction, or services.
3. Where feasible, divide total requirements into smaller needs, and set delivery schedules that will encourage participation by these firms.
4. Use the assistance of the Minority Business Development Agency of the Department of Commerce, the Office of Small and Disadvantaged Business Utilization, DHHS, and similar state and local offices, where they exist.

PATENT RIGHTS CLAUSE

The standard Patent Rights Clause dated April 1, 1984, Appendix A to OMB Circular No. A-124 as published in the Federal Register, Vol. 49, No. 55, Tuesday, March 20, 1984 is made a part of this award by reference as though fully set forth herein.

GRANT/COOPERATIVE AGREEMENT
PAYMENT INFORMATION

Payment under this award will be made available through HHS Payment Management System (PMS). PMS is administered by the Federal Assistance Financing Branch (FAFB), Office of the Deputy Assistant Secretary, Finance, which will forward instructions for obtaining payment.

A. PMS correspondence, mailed through the U.S. Postal Service, should be addressed as follows:

Federal Assistance Financing Branch
DASP/DASF/OS/DHHS
P.O. Box 6021
Rockville, MD 20852

B. If a carrier other than the U.S. Postal Service is used, such as United Parcel Service, Federal Express, or other commercial service, the correspondence should be addressed as follows:

Federal Assistance Financing Branch
DASP/DASF/OS/DHHS
Rockwall Building, Room 833
11400 Rockville Pike
Rockville, MD 20852

Inquiries regarding HHS single letter of credit should be directed to 301/443-1250.

To expedite your first payment from this award, attach a copy of the Notice of Grant/Cooperative Award to your payment request form.

NOTICE

For your information, the HHS Inspector General maintains a toll-free telephone number, 800-368-5779, for receiving information concerning fraud, waste of abuse under grants and cooperative agreements. Such reports are kept confidential, and callers may decline to give their names if they choose to remain anonymous.

14th Annual Minority Health Conference

**Beyond the Rhetoric:
Developing Solutions to Minority Health Issues**

February 6-7, 1992

AGENDA

February 6, 1992

9:00 a.m.

WELCOME AND INTRODUCTION

Paul Hardin, JD
Chancellor, UNC at Chapel Hill

Howard Garland Hershey, Jr., DDS
Vice Chancellor for Health Affairs and
Vice Provost, UNC at Chapel Hill

Michel Ibrahim, MD
Dean, UNC School of Public Health

Henry McCoy
President, NC Minority Health Center, Inc.

Laurie Elam Evans, MPH
Student Conference Coordinator
President, Minority Student Caucus
Doctoral Student, Department of Epidemiology, UNC-SPH

9:30

**BABIES OF COLOR:
PROTECTING AMERICA'S RESOURCE FOR THE FUTURE**

Deborah L. Coates, PhD
Director
Institute for Healthier Babies
March of Dimes Birth Defects Foundation
White Plains, NY

Melva T. Covington, Master's Student, Moderator,
Department of Maternal and Child Health, UNC-SPH

10:45

Break

11:00

**AFRICAN AMERICAN HEALTH:
A MULTI-DIMENSIONAL PERSPECTIVE**

Norman B. Anderson, MD
Associate Professor
Department of Psychiatry and
Department of Psychology, Social and Health Sciences
Director, Program on Health Behavior and Aging
in Black Americans
Duke University
Durham, North Carolina

Brian Wardell, Moderator
Master's Student
Department of Health Policy and Administration, UNC-SPH
Student, UNC Law School

12:15 p.m.

Luncheon

BUILDING MINORITY LEADERSHIP IN PUBLIC HEALTH

Lisa Friend, MD, MPH
Assistant Director for Health Care Programs
Richmond City Health Department
Richmond, Virginia

A representative of CDC, Office of Minority Health to
be confirmed

1:45

**ENVIRONMENTAL EQUITY:
SHARING THE COSTS OF ENVIRONMENTAL DEGRADATION**

Cynthia M. Harris, PhD
Toxicologist
Agency for Toxic Substances and Disease Registry (ATSDR)
Atlanta, Georgia

Marjorie W. Moore
Program Director
Community Environmental Health Center at Hunter College
New York, New York

Lori Carter, MPH, Moderator
Doctoral Student, Department of Epidemiology, UNC-SPH

3:15

Break

3:30

CONCURRENT SESSIONS

**Session I:
BABIES OF COLOR**

James W. Collins, Jr., MD, MPH
Attending Neonatologist
Children's Memorial Hospital
Assistant Professor of Pediatrics
Northwestern University Medical School
Chicago, Illinois

John Collins, MSN, CNM
A Community-Based Program to Reduce Infant Mortality
Through Empowerment
Hamden, Connecticut

Melva T. Covington, Moderator

**Session II:
AFRICAN-AMERICAN HEALTH**

Lenora A. Richardson, DSN
Assistant Professor
School of Nursing
Minority Investigator
Healthy Kids Project
School of Nursing
University of North Carolina at Greensboro

Evelyn Schmidt, MD
Director
Lincoln Community Health Center
Durham, North Carolina

Sabrina Walton, Moderator
Master's Student
Department of Epidemiology
UNC-SPH

**Session III:
ENVIRONMENTAL EQUITY**

Tim E. Aldrich, PhD
Head
North Carolina Cancer Registry
State Center for Health and Environmental Statistics
NC Department of Environment, Health and
Natural Resources
Raleigh, North Carolina

Yolanda Banks Anderson, PhD
Special Assistant
Health Effects Research Laboratory
US Environmental Protection Agency
Research Triangle Park, North Carolina

Margaret Pollard, MSPH (tentative)
Director of Public Health and Wellness Programs
Wake Area Health Education Center
Raleigh, North Carolina

Beverly Wright, PhD (tentative)
Department of Sociology
Wake Forest University

Lester W. Lee, PhD, Moderator
Master's Student
Department of Epidemiology, UNC-SPH

5:00

Adjourn

February 7, 1992

9:00 a.m.

CANCER COMMUNICATION FOR MINORITIES

Panel Presentation

Angela Ross, Moderator
Master's Student
Department of Health Behavior and Health Education
UNC-SPH

10:15

Break

10:30

**CANCER COMMUNICATION EXHIBITS AND
DEMONSTRATIONS**

Samples of cancer control materials for low literacy and minority populations, including interactive computer programs, video, and print materials will be available.

12:00 p.m.

Lunch

1:30

**UNC MINORITY CANCER CONTROL RESEARCH PROGRAM:
RESEARCH PRESENTATIONS**

The UNC Minority Cancer Control Research Program
Victor Schoenbach, PhD
Associate Professor of Epidemiology, UNC-SPH

**Investigating the Role of Dietary Fat in Prostate
Cancer**
Paul Godley, MD, MPP
Instructor of Medicine
UNC School of Medicine

Narrowing the Racial Gap in Breast Cancer Screening
Eugenia Eng, DrPH
Associate Professor of Health Behavior and Education
UNC-SPH

**Screening Mammography History Among Emergency Room and
Walk-In Clinic Patients and Visitors**
Dexter L. Morris, PhD, MD
Acting Director
Comprehensive Cancer Center
UNC Hospitals, Chapel Hill

**Recruiting Black Smokers for a Self-Help Quit-Smoking
Program**
Sandra Headen, PhD
Research Assistant Professor of Health Behavior and
Health Education, UNC-SPH

**Wheat Bran Fiber Intake - Adherence Intervention and
Biopsychosocial Markers**
Jan R. Atwood, PhD
Professor
Curriculum in Public Health Nursing, UNC-SPH

Boyd R. Switzer, PhD
Associate Professor and Deputy Chairman
Department of Nutrition, UNC-SPH

Future Directions
Victor Strecher, PhD
Assistant Professor
Department of Health Behavior and Health Education
UNC-SPH

3:30

CLOSING REMARKS
Laurie Elam Evans, MPH

3:45

ADJOURN